1075379431795

### JC20 Rec'd PCT/PTO 0 9 JUN 2005

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE ATTORNEY DOCKET NO. 047182-0143

Applicant:

Receipt date: 06/09/2005

Pulickel AJAYAN et al.

Title:

NANOTUBE-ORGANIC PHOTOELECTRIC CONVERSION

DEVICE AND METHODS OF MAKING SAME

Appl. No.: Unassigned

Filing Date: 06/09/2005

Examiner: Unassigned

Art Unit: Unassigned

#### INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir

Submitted herewith on Form PTO/SB/08 is a listing of documents known to

Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

Receipt date: 06/09/2005

Appl. No. Unassigned

Atty. Dkt. No. 047182-0143

# JC20 Rec'd PCT/PTO 0 9 JUN 2005 TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the date of entry of the national stage as set forth in 37 CFR §1.491.

#### RELEVANCE OF EACH DOCUMENT

Any document listed on the attached PTO/SB/08 was cited as being relevant during the prosecution of the corresponding International application. A copy of the International Search Report setting forth the portion of each reference considered relevant by the examiner is attached. A copy of the document is not being provided since copies should have been provided directly by WIPO under an exchange program between the PTO, the EPO and the JPO.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Stephen B. Maebius Attorney for Applicant

Registration No. 35,264

June 9, 2005

FOLEY & LARDNER LLP Customer Number: 22428

Telephone: (202) 672-5569 Facsimile:

(202) 672-5399

Receipt date: 06/09/2005 JC20 Rec'd PCT/PTO 0 9 0 5 377 Pengro Serve (od 3095

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control

umber.								
	Substitute for	r form 1449B/	PTO		Complete # Collet Q 13			
	INFORMATIO	N DISCLO	SURE	Application Number	nassigned			
	STATEMENT	BY APPLI	CANT	Filing Date	06/09/2005			
	Date Submitt	ind: luna 0	2005	First Named Inventor	Pulickel AJAYAN et al.			
	Date Submitt	ieu. Julie 9,	2005	Group Art Unit	Unassigned			
	(use as many si	heets as ne	cessary)	Examiner Name	Unassigned			
Sheet	1	of	1	Attorney Docket Number	047182-0143			

Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No.1	Foreign Patent Document Office <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)			ode <sup>5</sup>	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	A5	wo	98/39250			SMALLEY et al.	09-11-1998		-
									+
		-							+
									+

NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No.1 Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue num publisher, city and/or country where published.						
	A6	ARANCIBIA, A., et al., "Synthesis and Characterization of New Ruthenium Complexes With 11-carboxy-dipyrido (3,2-a:2', 3' -c)phenazine as Ligan", Bol. Soc. Chil. Quim., vol. 45, no. 4, pp. 587-592 (2000).					
	A7	JANA, A. K., et al., "Photoelectrochemical Cells Consisting of Phenosafranin-EDTA and Different Redox Couples with Illuminated Semiconductor Electrode", Solar Energy, vol. 51, no. 5, pp. 313-316 (1993).	T				
	A8	TAYLOR, R., ed., "The Chemistry of Fullerenes", World Scientific Publishers, Singapore, pp. 67-85 and 202-210 (1995).	T				

Examiner Signature	/Golam Mowla/	Date Considered	03/09/2010					

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that Issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must preced the serial number of the patent document. <sup>3</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.1 all possible. <sup>3</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, N.2.9331-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commission Patents, P.O. Box 1450,

Alexandria, VA AZIZ-149EFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /G.M./